



An Update on High Level Disinfection and Sterilization Options for Flexible Endoscopes

One Integrated Approach to Healthcare



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Learning Objectives

Upon completion of this program, you will be able to:

- Review current standards and guidelines regarding flexible endoscopes
- Discuss the advantages and disadvantages of high level disinfection and sterilization methods

Definitions

- Regulations
 - A rule or directive made and maintained by an authority
 - Mandatory
- Standards
 - Provide requirements and specifications that can be used to ensure consistency and fit for purpose
 - National and International (often the same, often not)
 - Voluntary, but can become mandatory
 - Act of legislation – New Jersey adoption of standards published by Association for the Advancement of Medical Instrumentation (AAMI)
 - If you claim compliance

Definitions

- Guidelines, Recommended Practices, Technical Information reports
 - Technical guidance, information or preferred procedures regarding a given topic
 - e.g., AAMI TIRs, AORN Guidelines for Perioperative Practice
 - Voluntary but with interpretation

Agencies with Regulations and Mandatory Standards



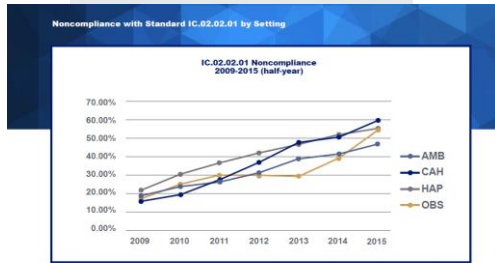
Agencies that Provide Accreditation Services



High-Level Disinfection (HLD) and Sterilization BoosterPak



Primary Standard Governing HLD and/or Sterilization Processes for All Settings:
Standard IC.02.02.01: Reducing the risk of infections associated with medical equipment, devices, and supplies.
EP2: Performing intermediate, high-level disinfection and sterilization of medical equipment, devices, and supplies.



This graph illustrates the annual percentages from years 2009-2015 (half-year) of noncompliance with Standard IC.02.02.01 scored during accreditation surveys in the above noted settings, specific to surveyor identified findings with high-level disinfection and sterilization breaches. AMB- Ambulatory, CAH- Critical Access Hospitals, HAP-

Groups That Provide Standards and Guidelines



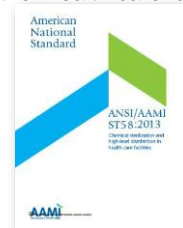
Association for the Advancement of Medical Instrumentation User Standards



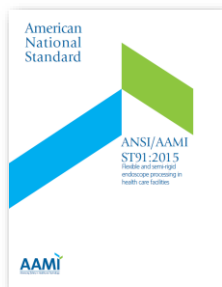
- **ANSI/AAMI ST 91, 2015:** Flexible and semi-rigid endoscope processing in health care facilities
- **ANSI/AAMI ST 58, 2013:** Chemical sterilization and high-level disinfection in healthcare facilities. 3rd edition
- **AAMI/ANSI ST 41, 2008:** Ethylene oxide sterilization in healthcare facilities, safety and effectiveness
- **AAMI TIR 34: 2014:** Water for reprocessing of medical devices

What is ANSI/AAMI ST58?

Guidelines for the selection and use of liquid chemical sterilants (LCSs)/high-level disinfectants (HLDs) and gaseous chemical sterilizers for use in hospitals and other health care facilities



What is ANSI/AAMI ST 91?







Flexible and semi-rigid endoscope reprocessing in health care facilities

AAMI TIR34 (2014), Endoscopy Water

- Utility water: Rinsing following cleaning
- Critical water: Rinsing following disinfection
 - Some systems provide extensively treated water
- Control of any water storage or distribution systems is essential
- Biofilm development and cross-contamination

| Type of Water | | Utility Water ¹⁾ | Critical Water |
|---------------------------|--------|-----------------------------|----------------------------------|
| Water Use | | Flushing/Washing/Rinsing | Final Rinse ²⁾ /Steam |
| Specifications: | | | |
| | Units | | |
| Hardness | mg/L | < 150 ³⁾ | < 1 |
| Conductivity (mg/L = ppm) | µS/cm | < 500 | < 10 |
| pH ⁴⁾ | | 6 – 9 | 5 – 7 |
| Chlorides | mg/L | < 250 | < 1 |
| Bacteria | cfu/mL | n/a | < 10 |
| Endotoxin | EU/mL | n/a | < 20 ³⁾ |

Guidelines

- CDC Guideline for Decontamination and Sterilization in Healthcare Facilities (2008) 
- ASGE/SHEA/SGNA/APIC: Multi-society guideline on reprocessing flexible gastrointestinal endoscopes (2011) 
- SGNA: Standards of Infection Control in Reprocessing of Flexible Gastrointestinal Endoscopes (2016) 
- SGNA: Guideline for Use of High Level Disinfectants & Sterilants for Reprocessing Flexible Gastrointestinal Endoscopes (2015)
- AORN Guideline for Cleaning and Processing Flexible Endoscopes and Endoscope Accessories (2015) 

Reprocessing Medical Devices in Health Care Settings: Validation Methods and Labeling

Guidance for Industry and Food and Drug Administration Staff

Document issued on: March 17, 2015

This document supersedes: "Labeling Reusable Medical Devices for Reprocessing in Health Care Facilities: FDA Reviewer Guidance" (available at <http://www.fda.gov/downloads/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/UCM080268.pdf>) issued April 1996.

The draft of this document was issued on May 2, 2011.

Increased Focus on Reprocessing Validations

- Quality of Reprocessing Instructions
- Attention to Human Factors
- Cleaning Validations

"IT IS IMPORTANT TO NOTE THAT CLEANING, DISINFECTION AND STERILIZATION ARE DISTINCTLY DIFFERENT PROCESSES" FDA

* Reprocessing Medical Devices in Healthcare Settings: Validation Methods & Labeling, March 2015, Pg.6

New FDA Guidance on Reprocessing (March 17, 2015)

B. Semi-Critical Devices




Semi-critical devices are devices that contact intact mucous membranes or non-intact skin. They do not ordinarily penetrate tissues or otherwise enter normally sterile areas of the body. Intact mucosal surfaces are relatively resistant to small numbers of spores. However, these devices should be reprocessed to be free from all microorganisms. Users should be instructed to thoroughly clean these devices and then reprocess them by sterilization. If the device design does not permit sterilization (e.g., device materials cannot withstand sterilization), then high level disinfection should be used.

Examples of semi-critical devices include duodenoscopes, endotracheal tubes, bronchoscopes, laryngoscope blades and other respiratory equipment, esophageal manometry probes, diaphragm fitting rings, and gastrointestinal endoscopes.

Heat-stable devices (e.g., rigid endoscopes) should be processed by steam sterilization. For heat-labile devices, available "low temperature" reprocessing

³⁾ Spaulding, EH: The role of chemical disinfection in the prevention of nosocomial infections. In: Brachman PS, Eickhoff TC, eds Proceedings of the International Conference on Nosocomial Infections, 1970. Chicago: American Hospital Association, 1971: 254-274

Spaulding Classification

| Patient Contact | Examples | Device Classification | Minimum Inactivation Level |
|----------------------------------------------------|-----------------------------------------------------------------------------------|-----------------------|---------------------------------------------------------|
| Intact skin |  | Non-Critical | Cleaning and/or Low/Intermediate Level Disinfection |
| Mucous membranes or non-intact skin |  | Semi-Critical | Cleaning and Sterilization (or High Level Disinfection) |
| Sterile areas of the body, including blood contact |  | Critical | Cleaning and Sterilization |

FDA Executive Summary

Prepared for the
May 14-15, 2015 meeting of the
Gastroenterology-Urology Devices Panel of the
Medical Devices Advisory Committee

Effective Reprocessing of Endoscopes used in Endoscopic Retrograde Cholangiopancreatography (ERCP) Procedures

FDA Panel, May 2015

- Gastroenterology and Urology Devices Panel of the Medical Devices Advisory Committee
 - duodenoscopes should be redesigned
 - devices should be reclassified as critical
 - contact with blood or normally sterile tissue

*"To date, only liquid chemical sterilants have been cleared by FDA specifically for sterilization of complex endoscopes, such as duodenoscopes. Ethylene oxide sterilizers have general claims and do not have specific claims for sterilization of duodenoscopes."**

*FDA Executive Summary: Effective Reprocessing of Endoscopes used in Endoscopic Retrograde Cholangiopancreatography Procedures, pg. 40

CDC HEALTH ADVISORY, 9/11/2015

- Immediate Need for Healthcare Facilities to Review Procedures for Cleaning, Disinfecting, and Sterilizing Reusable Medical Devices
- Provide training to all personnel who reprocess devices
- Regularly monitor and document adherence to cleaning, disinfection, sterilization, and device storage procedures
- Provide feedback from audits to personnel regarding their adherence
- Allow adequate time for reprocessing
- Maintain documentation of reprocessing activities
- Protocols ensure that healthcare personnel can readily identify devices that have been properly reprocessed
- Follow manufacturer IFUs for maintenance and repair of devices

Infections Associated with Reprocessed Flexible Bronchoscopes: FDA Safety Communication, 9/17/2015:

- Sub-set of devices
- Greater likelihood of microbial transmission
- Represent a high risk of infection if they are not adequately reprocessed
- Persistent device contamination despite following IFUs
- Failure to meticulously follow manufacturer instructions for reprocessing
- Continued use of devices despite integrity, maintenance and mechanical issues

Infections and Outbreaks



Centers for Disease Control

- “Endoscopes most commonly linked to health care-associated outbreaks and pseudo-outbreaks”
- Flexible endoscopes represent high-risk devices
 - High levels of bacterial contamination
 - Mouth - 200+ species
 - Large intestine - 1,000 species
- Complex designs
- Numerous reports of breaches in reprocessing



January 15, 2016

Senate Committee: Scope Outbreak Worse Than Reported

Industry silence, hospital missteps, porous regulation cited

- The global wave of “superbug” infections linked to contaminated duodenoscopes was much wider than previously believed and could have been largely avoided, Senate investigators have concluded.
- Between 2012 and 2015, at least 250 people in 25 outbreaks worldwide were sickened by the tainted instruments
- The FDA, in turn, failed to alert hospitals, health care workers and the public for 17 months after learning of the potential hazard

by Adam Marcus (Gastro and Endoscopy News)

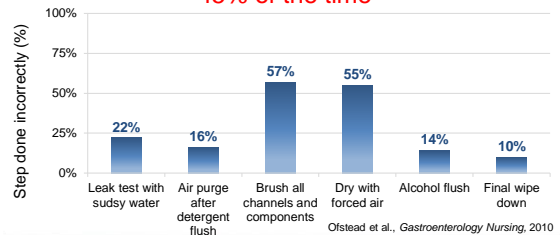
What Technology Hazards are Lurking in your Hospital?

1. **Inadequate Cleaning of Flexible Endoscopes before Disinfection Can Spread Deadly Pathogens**
2. Missed Alarms Can Have Fatal Consequences
3. Failure to Effectively Monitor Post-operative Patients for Opioid-Induced Respiratory Depression Can Lead to Brain Injury or Death
4. Insufficient Training of Clinicians on Operating Room Technologies Puts Patients at Increased Risk of Harm
5. Unsafe Injection Practices Expose Patients to Infectious Agents



CLEANR Study: Documented non adherence with several essential steps (n = 69)

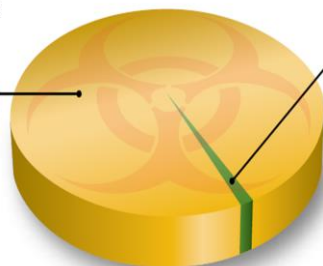
Multiple manual cleaning steps skipped
45% of the time



Reprocessing using manual cleaning

99%

1 or more steps skipped or done incorrectly



1% All steps completed

Manual cleaning n = 69; p = 0.001

Ofstead et al., Gastroenterology Nursing, 2010



Current risks are outdated and inaccurate (Ofstead et al, 2013; Dirlam-Langlay et al, 2013)

- Most outbreaks not published
- Most outbreaks not investigated
- Difficult to link to contaminated endoscopes
- Reviews of reprocessing practices show widespread lapses in essential steps
- Risks are greater than just infections (e.g., toxicity with aldehydes)

Nosocomial Infections via GI Endoscopes

- Infections traced to deficient practices
- Inadequate cleaning (clean all channels)
- Inappropriate/ineffective disinfection
- Failure to follow recommended disinfection practices (tap water rinse)
- Flaws and complexity in design of endoscopes or AERs
- Improper use of reprocessing equipment
- Improper drying/storage



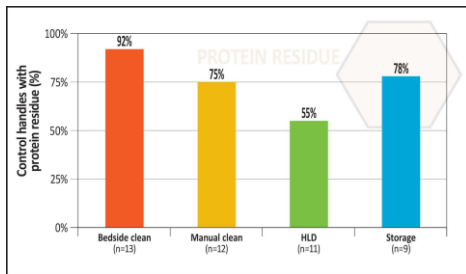
Major article
Persistent contamination on colonoscopes and gastroscopes detected by biologic cultures and rapid indicators despite reprocessing performed in accordance with guidelines
 Cori L. Ofstead MSPH^{a,b,c}, Harry P. Wetzler MD, MSPH^a, Evan M. Doyle BS^a, Catherine K. Rocco RN, MSN, CNOR^a, Kavel H. Visrodia MD^d, Todd H. Baron MD^d, **FREDERICK K. TOSHI MD^a**

^aDivision of Infection Control, Case Western Reserve University, Cleveland, Ohio, USA
^bDivision of Infection Control, Cleveland Clinic Foundation, Cleveland, Ohio, USA
^cDivision of Infection Control, Akron Children's Hospital, Akron, Ohio, USA
^dDivision of Gastroenterology and Hepatology, University of North Carolina School of Medicine, Chapel Hill, NC, USA

Background: Endoscopic lumens have been traced to persistent contamination with multidrug-resistant organisms that were repeatedly reprocessed in accordance with guidelines.
Methods: Researchers observed reprocessing activities to ensure guideline compliance in a large gastrointestinal endoscopy unit. Contamination was assessed immediately after bedside cleaning, manual cleaning, high-level disinfection, and overnight storage via visual inspection, aerobic cultures, and tests for adenine triphosphate (ATP), protein, carbohydrate, and hemoglobin.

- No visible debris noted
- Viable microbes and biologic debris persist

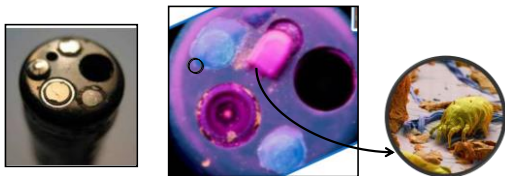
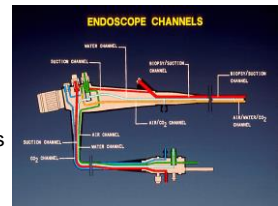
Protein Was Never Removed During Reprocessing



Ofstead, et al. AJIC, August 2015

Features Predispose to Disinfection Failures

- Complex Design
- Heat labile
- Long, narrow lumens
- Right angle bends
- Rough or pitted surfaces
- Springs and valves
- Damaged channels
- Heavily contaminated with pathogens, 10^{7-10}
- Cleaning (4-6 \log_{10} reduction) and HLD (4-6 \log_{10} reduction) essential for patient safe instrument



| | Microorganism Types | Antimicrobial Process | | |
|------------------|------------------------|-----------------------|--------------|--------------------|
| | | Sterilization | Disinfection | |
| | | | High-Level | Intermediate-Level |
| More Difficult ↑ | Bacterial Spores | ↑ C-DM | | |
| | Mycobacteria | | ↑ | |
| | Non-enveloped viruses | | | ↑ |
| | Fungi | | | ↑ |
| | Gram Negative Bacteria | | | ↑ |
| Less Difficult ↓ | Gram Positive Bacteria | ↑ GRE | | |
| | Enveloped Viruses | ↑ EBOLA | | |

C-Diff Hits the News

- \$5 billion/year in healthcare costs
- Hospital costs >40% per case
- It's all about 100% spore kill!



philly.com
THE REGION'S HOME PAGE
Hospital infection spreads, toughens
C. diff cases in region grow. **US News**

Hospitals to report superbug infections

Bugs Behaving Badly

ICT
Clostridium Difficile Toxin: Diagnosis, Treatment, and Prevention of Disease

C. diff germ kills 3 more in Cuyahoga

The Washington Post

Stomach Bug Mutates Into Medical Mystery

In 2015, It's About ERCP Scopes!

CBS NEWS / January 22, 2015, 12:01 PM
Deadly superbug infected patients at Seattle hospital
'Superbug' linked to 2 deaths at UCLA hospital

Scope disinfection failure suspected in superbug case, Seattle says to state, memo

Superbug outbreak extends to Cedars Sinai hospital, linked to scope

281 Hartford Hospital Patients Exposed to Drug-Resistant E. Coli

Medical scope now tied to Wisconsin superbug outbreak

Recent Transmissions

Transmission of multidrug-resistant organisms via contaminated duodenoscopes
Col. J. Chavers, MD/PH, Assistant M. Dorian Langley PhD, MPH, Infection Mgt. MSc/PH, Patrick K. Davis, MD, MPH, Todd H. Barlow, MD, Vincent & Associates, Inc., Sanku Paul, MD, Division of Infectious Diseases and Division of Gastroenterology & Hepatology, Mayo Clinic, Rochester, MN

1. Introduction
ERCP is a high-risk procedure...
2. Aim
To determine the association between ERCP and multidrug-resistant organisms...
3. Methods
This study represents the first...
4. Results
The study found...
5. Summary and Conclusions
ERCP is a high-risk procedure...
References

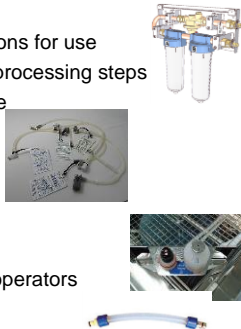
Manual

Automated



Automation

- FDA clearance
- Review claims and instructions for use
- Automate and standardize processing steps
- Reduced chemical exposure
- Defined flow of lumens
- Specific design-dependant
- Pre-cleaning still required
- Flow connector design
- Filtered tap water
- Correct use dependant on operators
- Routine maintenance



Definitions

High level disinfectant

- Product that is expected to kill all microbial organisms but not necessarily large numbers of bacterial spores, **when used according to labeling**
- Often a liquid chemical sterilant (LCS) used for a shorter exposure time than that required to pass an FDA-defined spore inactivation test
- Disinfection and rinsing need to be controlled

Definitions

Liquid chemical sterilant

- Product validated to provide microbial kill adequate to obtain FDA clearance for a sterilization label claim (often at longer exposure times)

Sterilization

- Validated process used to render a product free from viable microorganisms, including bacterial spores.
- Liquid or gaseous process

Key Points with Disinfection

Label claims vary:

- Manufacturer IFUs (e.g. temperature, immersion time, 'rinse thoroughly')

Single use or multiple use

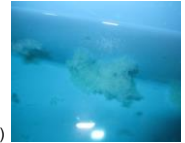
Multiple use disinfectants

- Label claims, maximum reuse life
- Topping off

All surfaces of device in contact

- Rinsing
- Correct water quality (bacteria-free; AAMI TIR34)
- Fresh water for every rinse (by immersion)
- Correct number of rinses

Device inspection prior to use



High Level Disinfectants

Aldehydes

- Glutaraldehyde
- OPA

Oxidizing agents

- Hydrogen peroxide
- Peracetic acid



Each Product is Unique

High Level Disinfectant/Sterilants

| Chemicals | Sterilant | High Level Disinfection | Notes |
|------------------------------------------|-------------------------------------------------------|-------------------------|--------------------------------------------------------------|
| 3.4% glutaraldehyde 20.1% isopropanol | 8 hours at 20°C | 10 mins at 20°C | Requires activation 3 rinses following exposure |
| 2% hydrogen peroxide | 6 hours at 20°C | 8 mins at 20°C | No activation 1 rinse |
| 0.575% OPA | No claim (passes sporidical test at 32 hours at 20°C) | 10 mins at 20°C | No activation 3 rinses |
| 2.4% glutaraldehyde | 10 hours at 25°C | 45 mins at 25°C | Requires activation 3 rinses following exposure |
| 3.4% glutaraldehyde | 10 hours at 25°C | 90 mins at 25°C | Requires activation 'Thoroughly' rinse following exposure |

www.fda.gov

Aldehydes

First marketed in 1963 as alkaline solutions

Endoscopes required low-temperature processing

- Limited reprocessing methods

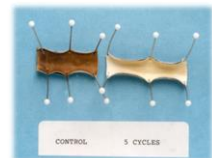
Limited inventory/quick turnover

- Decentralized reprocessing
- 1980-1990s: First reports of adverse health effects in hospitals
- Employee/patient safety concerns
 - Toxic build up
 - Fumes
 - Asthma
 - Dermatitis
 - Aldehyde induced colitis
- Closed systems



Concerns for Aldehydes

- Safety
- Protein binder
- Reduction in hospital use
 - Country-specific regulations
- Development of resistance
- Biofilms



Occupational hazards associated with endoscope high-level disinfection: Case vignettes, review of literature and recommendations for mitigation

B.H. Meho^a, L.A. Foster and M.J. Rabona
Beth Israel Deaconess Medical Center/Harvard Medical School, Boston, MA, USA

Exposure of health workers in primary health care to glutaraldehyde

M Angel González Jara¹, Alfonso Mora Hidalgo¹, J Carlos Avalo Gulin¹, Marcos López Albiach², Laura Muñoz Ortiz², Pere Torán Monserrat¹ and Xavier Esteve Ollé²

Abstract

Background: In order to avoid proliferation of microorganisms, cleaning, disinfection and sterilisation in health centres is of utmost importance hence reducing exposure of workers to biological agents and of clients that attend these health centres to potential infections. One of the most commonly-used chemical is glutaraldehyde. The effects of its exposure are well known in the hospital setting; however there is very little information available with regards to the primary health care domain.



Brief report

Aldehyde-resistant mycobacteria bacteria associated with the use of endoscope reprocessing systems

Christopher W. Fisher PhD^a, Anthony Fiorello MS^a, Diana Shaffer BA^a, Mary Jackson PhD^b, Gerald E. McDonnell PhD^{a,*}

^aDepartment of Research and Development, STERIS Corporation, Mentor, OH

^bMicrobiology Research Laboratories, Department of Microbiology, Immunology and Pathology, Colorado State University, Fort Collins, CO

Emergence of Glutaraldehyde-Resistant *Pseudomonas aeruginosa*

Yoon-Hee Ahn^{1,2}, Sun-Hee Park^{1,2}, Gyeom-Kyung Park^{1,2}, Michael Tenen^{1,2}, Sun-Hee Park^{1,2}, Myoung-Joon Park^{1,2}, Seung-Ho Park^{1,2}, Hyeon-Gook Park^{1,2}, and Myoung-Joon Park^{1,2}

Objective: To describe the emergence of aldehyde-resistant *Pseudomonas aeruginosa* in a tertiary care hospital. **Design:** A 10-month retrospective study. **Setting:** A tertiary care hospital. **Participants:** All *P. aeruginosa* isolates from the hospital's endoscope reprocessing system. **Measurements and Main Results:** A total of 1,234 *P. aeruginosa* isolates were cultured from the endoscope reprocessing system. Of these, 100 (8.1%) were found to be resistant to glutaraldehyde. The emergence of aldehyde-resistant *P. aeruginosa* was associated with the use of glutaraldehyde for endoscope reprocessing. **Conclusions:** The emergence of aldehyde-resistant *P. aeruginosa* is a public health concern. **Keywords:** Aldehyde-resistant *Pseudomonas aeruginosa*, endoscope reprocessing, glutaraldehyde.

Susceptibility of high-risk human papillomavirus type 16 to clinical disinfectants

Yoon-Hee Ahn^{1,2}, Sun-Hee Park^{1,2}, Gyeom-Kyung Park^{1,2}, Michael Tenen^{1,2}, Sun-Hee Park^{1,2}, Myoung-Joon Park^{1,2}, Seung-Ho Park^{1,2}, and Myoung-Joon Park^{1,2}

Objective: To evaluate the susceptibility of high-risk human papillomavirus type 16 (HPV16) to clinical disinfectants. **Design:** A 10-month retrospective study. **Setting:** A tertiary care hospital. **Participants:** All HPV16 isolates from the hospital's endoscope reprocessing system. **Measurements and Main Results:** A total of 1,234 HPV16 isolates were cultured from the endoscope reprocessing system. Of these, 100 (8.1%) were found to be resistant to glutaraldehyde. The emergence of aldehyde-resistant HPV16 was associated with the use of glutaraldehyde for endoscope reprocessing. **Conclusions:** The emergence of aldehyde-resistant HPV16 is a public health concern. **Keywords:** Aldehyde-resistant HPV16, endoscope reprocessing, glutaraldehyde.

Why the Shift to Oxidative Agents?

1 Concern: Safety

- Safe for scopes, patients, staff, environment
- Toxicity of aldehydes
- Efficacy
- Employee health
- Aldehyde resistant organisms
- Efficiency



Oxidizing Chemistries

Advantages

- Antimicrobial activity, fast cycle times
- Low risk of resistance development
- Safety profile (staff, patients, scopes)
- Low toxicity risks
- Environmentally friendly
 - Biodegradable, no special ventilation or disposal
- Aides in removal of organic matter



Disadvantages

- Activity can vary depending on product formulation (e.g., temperature requirements)
- Variable material compatibility (product dependant)

Oxidizing Chemistries, continued

High level disinfection/sterilization:

- Formulation dependent (liquid, gas, plasma)

Reduce:

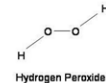
- Risk of cross-contamination
- Risk of infection with resistant bacteria

Effectiveness against:

- Biofilms
- Aldehyde resistant bacteria

Hydrogen Peroxide (H₂O₂)

- Liquid or gas
- Formulation dependent
- HLD/sterilant
 - Environmentally friendly
 - Efficacy/surface compatibility



Hydrogen Peroxide (H₂O₂), continued

Applications

- Antisepsis
- Cleaning/Surface disinfection
- Disinfection
 - Buildings
 - Vehicles
 - Hospital rooms
 - Laboratories

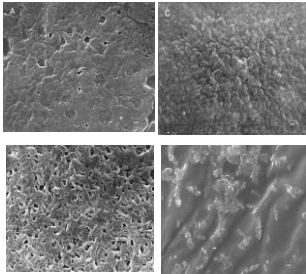


Peracetic Acid (PAA)

- Effective biocide
- High potency
- Effective in presence of organic soil
- No toxic residues
- Combined with proper buffers and anti-corrosives to safely disinfect / sterilize
 - Endoscopes
 - Heat sensitive devices

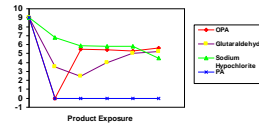


Biofilm Efficacy with PAA



- Efficacy against biofilms
 - Removes biofilms under worst case conditions
 - PAA minimizes biofilm development

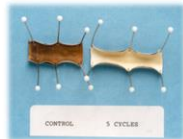
Biofilm Control



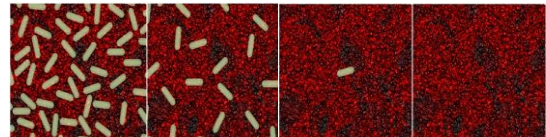
Bacterial Reduction
(log₁₀ cfu/cm² Pseudomonas aeruginosa)

Previous Use of Aldehydes

- Aldehydes hide problems
- Leaks observed shortly after use
- Expect scope repairs



Effectiveness of Reprocessing



Contaminated

Cleaned

Disinfection

Sterilization

Sterilization Essentials

- Sterilization is dependant on adequate cleaning, rinsing and device preparation
 - Drying may also be essential
- Process claims are product specific
 - Not just the active (e.g., 'ETO')
 - Controlled processes
- Correct equipment installation, maintenance, use and periodic monitoring required for all systems
- Correct handling (including storage) required after the process

Two Sterilization Options for most Semi-Critical Flexible Endoscopes used in GI

| Reprocessing Modality | | |
|-------------------------------|-----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Type | Unit | Notes |
| Traditional Sterilization | EtO | <ul style="list-style-type: none"> – Uses Toxic gas. – Large Inventory Required. – 60 minute Cycle, 12 hour aeration. Known for leaving residuals. May damage devices after long term use. |
| Liquid Chemical Sterilization | SYSTEM 1E | <ul style="list-style-type: none"> – 23 minute cycle. – Less inventory needed. – Oxidative, non fixative chemistry that is safe for the user and patient. – No toxic residuals |

Liquid/Gaseous Sterilization Options



Ethylene Oxide

- Ensure devices are clean and dry
 - ETO is sensitive to the presence of residual soil and water
- Low pressure (vacuum) systems
 - Venting cap required
- Sterilization parameters should be validated by endoscope manufacturer
 - Conditioning, sterilization and aeration
- Post-sterilization aeration is essential
 - Processing time typically >15 hours
- Endoscopes may have a limited number of cycles before requiring extensive repair



EPA EtO National Emission Standards

- March 2008
 - Sterilize full loads
 - Demonstrate, submit compliance status with management practice standard
 - Record keeping
 - Compliance status
 - Sterilizers not equipped with air pollution control devices
- March 2010
 - Single chamber process – no separate aerator



Recent FDA statement on EtO

Dr. William Maisel, FDA Deputy Director and Chief Scientist:

- EtO sterilization is “**not something that we routinely recommend**”
- “...There can be ethylene oxide residual levels on the products that can be harmful to patients.”
- “[EtO] can damage the scopes themselves. And so we are not, at this time, recommending routine ethylene oxide sterilization.”



Willman, D., LA Times, 2015.

Hydrogen Peroxide Gas

- Processes with and without 'plasma'
 - Vacuum processes require device venting
- Claims (lumen length and diameter restrictions) are product specific
 - Generally critical flexible endoscopes
 - Some systems include claims for single/multiple lumened devices
 - No GI endoscope claims
- Typical sterilization time ~30 minutes
- Ensure devices are clean and dry before sterilization



Liquid Chemical Sterilization

- One system cleared as a liquid chemical sterilant processing system cleared through the FDA**
 - Liquid chemical sterilization with peracetic acid sterilant
 - Rinsing with extensively treated water
 - Removal of bacteria, viruses, protozoa and fungi
 - Controlled rinsing (non-toxic)
- Cycle time (23 minutes)
- Validated flexible endoscope models including ERCP scopes
 - Includes specially designed connectors



“To protect the public health we must shift endoscope reprocessing from HLD to sterilization. FDA should mandate that GI endoscopes used in healthcare facilities be sterile by 2018”

Dr. Bill Rutala, 2015

GI Endoscopes: Shift from Disinfection to Sterilization

Rutala, Weber. JAMA 2014. 312:1405-1406

EDITORIAL Editorial represents the opinions of the authors and JAMA and not those of the American Medical Association

Gastrointestinal Endoscopes: A Need to Shift From Disinfection to Sterilization?

William A. Rutala, PhD, MPH, David J. Weber, MD, MPH

More than 10 million gastrointestinal endoscopic procedures are performed annually in the United States for diagnostic purposes, therapeutic interventions, or both.¹ Because gastrointestinal endoscopes contact mucosal surfaces, use of a contaminated endoscope may lead to patient-to-patient transmission of potential pathogens with a subsequent risk of infection.²

In this issue of JAMA, Epstein and colleagues³ report findings from their investigation of a cluster of New Delhi metallo-β lactamase (NDM5) producing Escherichia coli associated with gastrointestinal endoscopy that occurred from March 2012 to July 2013 in a single hospital in northwestern Illinois. During the 5-month period, 9 pa-

tient endoscopes are semicritical devices, which contact mucous membranes or noncontact skin, and require at least high-level disinfection.^{4,5} High-level disinfection achieves complete elimination of all microorganisms, except for small numbers of bacterial spores. Because flexible gastrointestinal endoscopic instruments are heat labile, only high-level disinfection with chemical agents or low-temperature sterilization technologies are possible.⁶ However, no low-temperature sterilization technology is US Food and Drug Administration (FDA)-cleared for gastrointestinal endoscopes such as duodenoscopes.

Second, more health care-associated outbreaks and clusters of infection have been linked to contaminated endoscopes than to any other medical device.^{7,8} However, until now,

Related article page 1447



Unresolved Issue

Endoscope Shelf Life prior to reprocessing:

- AAMI ST 91: perform risk assessment
- AORN: recommends up to 5 days currently under review
- APIC: up to 7 days
- SGNA: up to 7 days
- CDC: not addressed
- FDA: not addressed
- Refer to AAMI ST91, ST58



Quality Control Plan

- Know your standards and guidelines
- Risk analysis
 - Where are your risks/hazards?
 - What have you done to reduce these?
 - Continuous improvement
- Reprocessing policy
 - Facility
 - Department
- Staff training and demonstrated competency

Example of a Risk Assessment Chart

| Program Components | Probability | | | | Risk | | | | Control | | | | Score | |
|--------------------|-------------|-----|------|----------|------|-----|-----|------|----------|------|-----|-----|-------|------|
| | Low | Med | High | Critical | None | Low | Med | High | Critical | None | Low | Med | | High |
| Hand Hygiene (HLL) | 4 | 3 | 2 | 1 | 2 | 4 | 2 | 1 | 2 | 1 | 4 | 3 | 2 | 1 |
| Endoscopes (HLL) | | | | | | | | | | | | | | |
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A risk assessment is conducted before HLD and sterilization goals are determined. Both HLD and sterilization if performed in your healthcare facility, should be included in your Risk Assessment and Infection Prevention and Control Plan, based on risk.

The IC Risk assessment is an ongoing, continual process, as risks continually change – as new products or pieces of equipment are purchased, new staff are hired, or a new program or service is added.

Risk Assessment | 3

Conclusion

- Review current documents and standards to ensure policies and procedures are consistent with best practices
 - Identify the advantages and disadvantages of aldehyde and oxidative chemistries
 - Consider the benefits of sterilization versus high level disinfection when making decisions for reprocessing flexible endoscopes
- Refer to scope manufacturer's instructions for proper use and handling

Action Plan

- Review current documents and standards to ensure policies and procedures are consistent with best practices
- Provide continuing education to staff based on updated information, new endoscope models, equipment and reprocessing methods
- Perform risk assessment as part of quality improvement

Questions



Useful References

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